

NIH Update

Federal Demonstration Partnership

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National Institutes of Health

Fiscal News

Salary Cap –

- Limited to Executive Level II – previously set at \$189,600. Increased to \$192,300 effective January 13, 2019.

If adequate funds are available in active awards, and if the salary cap increase is consistent with the institutional base salary, grantees may rebudget funds to accommodate the current Executive Level II salary level.

- However, no additional funds will be provided to these grant awards.



Policy Updates



Notices of Special Interest

NIH is expanding and formalizing the use of Notices of Special Interest (NOSI) posted in the NIH Guide for Grants and Contracts to announce interest in specific scientific research topics.

Notices of Special Interest will:

- Succinctly highlight a specific topic of interest, for example a specific area of research or program;
- Direct applicants to one or more active FOAs (often parent announcements) for submission of applications for the initiative described.

Most Notices of Special Interest require applicants to include the notice number in the Agency Routing Identifier field (4b) of the SF424 (R&R) form so NIH can assign and track applications and awards for the described initiative.

- Failure to include the information in the Agency Routing Identifier field (4b) will result in your application being removed from consideration for the initiative.



Revised Guidance on Protecting Life in Global Health Assistance

- Revised guidance extends the applicability of the PLGHA policy to foreign NGO subrecipients of U.S. state or local governmental entities, including state universities, (U.S public institutions).
 - Therefore, all foreign NGOs (e.g. foreign private institutions) directly supported by or subrecipients of U.S. public or private NGOs that receive U.S. global health assistance; specifically, PEPFAR, are subject to implementation and enforcement of PLGHA.
- U.S. based public and private universities are prohibited from issuing a sub-award to a foreign NGO unless that foreign NGO agrees to abide by the terms of the PLGHA policy.
 - For purposes of the policy, a foreign NGO is a for-profit or not-for-profit nongovernmental organization not organized under the laws of the United States, any U.S. State or Territory, the District of Columbia, or the Commonwealth of Puerto Rico.

See [NOT-OD-19-079](#) and the [NIH Protecting Life in Global Health Assistance website](#)



Updates to NIH Policy on ESI Application Status

NIH will automatically update the ESI status of an application within eRA Commons as follows:

- If a PD/PI updates his/her degree or residency information after submission of an R01 or R01-equivalent application, or if an investigator requests and/or receives an extension of ESI status after submitting an R01 or R01-equivalent application, the application will be reviewed with the designation assigned at the time of submission.
 - Within eRA Commons, the status of the pending application will be updated after release of the Summary Statement to acknowledge ESI-application eligibility.
- If an ESI-eligible application is awarded and the same PD/PI has an R01 or R01-equivalent application pending review, the pending application will be reviewed as an ESI-eligible application.
 - However, the status of the pending application will be updated after release of the Summary Statement to acknowledge the application is no longer ESI-eligible.

The goal of this change is to minimize the need for manual change requests by applicants after submission of an application, while still allowing consideration of ESI status at the time of a funding decision.



Update to the NIH/AHRQ/NIOSH Policy on Post-Submission Materials

Applicability: Applications submitted for due dates on or after May 25, 2019

What Will Change

For applications for Training Grant (T series) applications submitted for due dates on or after May 25, 2019, a list of publications up to three pages in length will be accepted as post-submission materials.

- This replaces the former one-page limit for the post-submission publication list.

Clarifications

This Notice also provides the following clarifications:

- Preprints and Other Interim Research Products ([NOT-OD-17-050](#)) are not accepted as post-submission materials because they do not represent unforeseen events.
- Missing or corrected materials cannot be submitted after the application due date unless submission of that material is specifically listed in this Notice (below) as allowable post-submission material(s).
- Materials resulting from change of institution, or change of PD/PI, that occurs between application submission and peer review must be sent to the SRO managing the review with a cc: to the Division of Receipt and Referral (csrdrr@mail.nih.gov); after review materials should be sent to the Grants Management Specialist listed in eRA Commons for that application



Small Business Organization Information Pulled from SAM Record Rather than Grant Application Form

- NIH will use the Small Business Organization (SBO) Type information provided in the System for Award Management (SAM) entity record to identify a small business organization as Women Owned or Socially and Economically Disadvantaged at time of application submission
- Resulting Changes:
 - The DUNS provided on the SF424 R&R form will be used to look-up the SAM information.
 - The SF424 R&R data entry screen in ASSIST no longer allows small business organizations to use the SBO Type check boxes.
 - SBO Type information submitted using other submission methods will not be retained.

See [NOT-OD-19-088](#)



Policy Reminders



National Institutes of Health

Reminder: Expiration of FY2014 Grant Funds

Federal agencies are required by 31 U.S.C. §1552(a) to close fixed year appropriation accounts and cancel any remaining balances by September 30 of the fifth fiscal year after the year of availability.

The deadline to report disbursements from 2014 awards made with annual appropriations is June 30, 2019.

- In order to ensure that all disbursements can be reported on the June 30, 2019 quarterly cash transaction report, recipients should not drawdown FY 2014 funds after June 30.

On September 30, 2019, funds awarded with a 2014 annual appropriation will cancel and will no longer be available to the recipient organization or to the NIH. After that date, recipients will not be able to access FY2014 funds for any reason (program expenditures, obligations, or payment requests).



Questions?



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